

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0112701-009

In Re Application Of: **Mark et al.**

Serial No.  
09/025,363

Filing Date  
February 18, 1998

Examiner  
S. Sharareh

Group Art Unit  
1616

RECEIVED

Title:

**CALORICALLY DENSE NUTRITIONAL COMPOSITION**

SEP 26 2000

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**CALORICALLY DENSE NUTRITIONAL COMPOSITION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$240.00** is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

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*Signature*

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

**\*This certificate may only be used if paying by deposit account.**

  
*Signature*

Dated: **September 21, 2000**

**Robert M. Barrett**  
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**P.O. Box 1135**  
**Chicago, Illinois 60690-1135**  
**Tel: (312) 807-4204**

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

APPLICANT:	Mark et al.	DOCKET NO.:	112701-009
SERIAL NO:	09/025,363	ART UNIT:	1616
FILED:	February 18, 1998	EXAMINER:	S. Sharareh
INVENTION:	"CALORICALLY DENSE NUTRITIONAL COMPOSITION"		

Assistant Commissioner for Patents

Washington, D.C. 20231

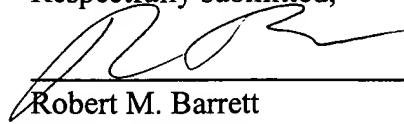
S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that a citation and examination of the references identified below and on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. 1.98, be made during the course of examination of the above-mentioned application for United States Patent.

<u>Patent Number</u>	<u>Inventor</u>	<u>Date</u>
5,574,065	Trimbo	November 12, 1996
5,589,468	Lin et al.	December 31, 1996
5,635,199	Trimbo et al.	June 3, 1997
5,686,429	Lin et al.	November 11, 1997
5,700,837	Trimbo	December 23, 1997
5,733,884	Barbul et al.	March 31, 1998
5,766,621	Trimbo et al.	June 16, 1998

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,



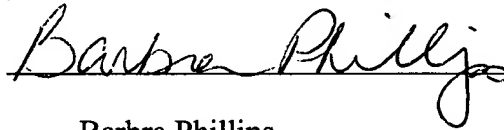
(Reg. No. 30,142)

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ATTORNEY FOR APPLICANTS

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand delivered to Examiner Sharareh at Group Art Unit 1616 at the United States Patent and Trademark Office, Washington, D.C. 20231 on Friday, September 22, 2000.



Barbra Phillips